

Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US05/005557

International filing date: 22 February 2005 (22.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US
Number: 60/546,111
Filing date: 19 February 2004 (19.02.2004)

Date of receipt at the International Bureau: 06 June 2005 (06.06.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

1325653

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

May 24, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/546,111

FILING DATE: *February 19, 2004*

RELATED PCT APPLICATION NUMBER: *PCT/US05/05557*



Certified by

Under Secretary of Commerce
for Intellectual Property
and Director of the United States
Patent and Trademark Office

16085 U.S. PTO
021904

Please type a plus sign (+) inside this box 

PTO/SB/16 (02-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. EU 861355976 US

22859 U.S. PTO
60/546111
021904

INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
Andrew Hang		Hamilton Yin		Guilford, CT New Haven, CT	
<input type="checkbox"/> Additional inventors are being named on the ___ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (280 characters max)					
Terephthalamide derivatives as mimetics of the helical region of Bak peptide target Bcl-xL protein					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number		<input type="text"/>		<input type="checkbox"/> Place Customer Number Bar Code Label here	
OR Type Customer Number here					
<input checked="" type="checkbox"/> Firm or Individual Name		Yale University, Office of Cooperative Research			
Address		433 Temple Street			
Address					
City		New Haven		State	CT
Country		USA		ZIP	06511
		Telephone	(203) 436-8096	Fax	(203) 436-8086
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification		Number of Pages		6	
<input type="checkbox"/> Drawing(s)		Number of Sheets		<input type="checkbox"/> CD(s), Number	
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76				<input type="checkbox"/> Other (specify)	
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				FILING FEE AMOUNT (\$)	
<input type="checkbox"/> A check or money order is enclosed to cover the filing fees					
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number:		25-0110		\$80.00	
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input type="checkbox"/> No.					
<input checked="" type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: <u>NIH CA78038</u>					

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME L. Alan Carr, Jr.

TELEPHONE

(203) 785-3074

Date 02/19/04

REGISTRATION NO.
(if appropriate)
Docket Number:

N/A

NYA

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.



ELSEVIER

Available online at www.sciencedirect.com

SCIENCE @ DIRECT®

Bioorganic & Medicinal Chemistry Letters □ (□□□□) □-□

Bioorganic &
Medicinal
Chemistry
Letters64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126

Terephthalamide derivatives as mimetics of the helical region of Bak peptide target Bcl-xL protein

Hang Yin and Andrew D. Hamilton*

Department of Chemistry, Yale University, PO. Box 20810, New Haven, CT 06511, USA

Received 12 August 2003; accepted 15 September 2003

Abstract—A group of novel Bcl-xL/Bak antagonists, based on a terephthalamide scaffold, were designed to mimic the α -helical region of the Bak peptide. Good in vitro inhibition potencies in disrupting the Bak/Bcl-xL complex have been observed (terephthalamide 4, $K_i = 0.78 \pm 0.07 \mu\text{M}$).

© 2004 Published by Elsevier Ltd.

Proteins in the Bcl-2 family play a critical role in determining the fate of a cell through the process of apoptosis.¹ Many oncogenic mutations, particularly those to p53, result in defects in DNA damage-induced apoptosis through a Bcl-2 dependent mechanism.² In addition, overexpression of Bcl-2 can inhibit the potency of many currently available anticancer drugs by blocking the apoptotic pathway.³ Therefore, agents that directly mimic the death-promoting region BH3 domain of the pro-apoptotic subfamily of Bcl-2 proteins⁴ are of potential therapeutic value.

The NMR-derived structure of the Bcl-xL/Bak BH3 domain complex indicates that the Bak peptide is an amphipathic α -helix that interacts with Bcl-xL by projecting hydrophobic side chains (Val⁷⁴, Leu⁷⁸, Ile⁸¹ and Ile⁸⁵) on one face of the α helix, into a hydrophobic cleft of Bcl-xL.⁵ Several low molecular weight inhibitors of Bcl-2/Bcl-xL have been reported with the majority showing potency in the low μM range.⁶ An alternative approach for identifying inhibitors is to design synthetic scaffolds that reproduce structural features of the BH3 helix region. We have previously reported functionalized terphenyls based on 1 as mimetics of the discontinuous binding epitopes of BH3.⁷ However, the hydrophobicity of the terphenyls and their challenging syntheses prompted us to search for simpler scaffolds that could similarly mimic the side chain presentation on an α -helix. Herein, we report a group of novel

Bcl-xL/Bak antagonists based on a terephthalamide scaffold, designed to mimic the α -helical region of the Bak peptide. Using a fluorescence polarization assay, we have observed high in vitro inhibition potencies in disrupting the Bcl-xL/Bak complex and a significant improvement of water solubility relative to the terphenyl derivatives.

The goal of our design was to maintain the similarity between the arrangement of the i , $i+4$, $i+7$ side chains of an α -helix and the substituents on 3, 2', 2'- positions on terphenyl 1,⁷ while minimizing the structural complexity and increasing the solubility of the inhibitors. This strategy of simplifying a proven *proteomimetic* was accomplished by using terephthalamide 2 as the scaffold. The flanking phenyl rings in 1 were replaced by two functionalized carboxamide groups, which also retain a planar geometry due to the restricted rotation of the amide bonds. Figures 1A and B show, respectively, superimpositions of energy-minimized 2 on 1 ($R1=R2=R3=Me$) with an RMS deviation value 0.34 Å and on the i , $i+4$, $i+7$ side chains of an α -helix with an RMS deviation value 1.03 Å, suggesting good stereochemical similarity between both pairs.⁸

A modular synthesis of terephthalamide derivative 4 is shown in Scheme 1. The 2-alkoxy group was introduced by *O*-alkylation and the 1-carboxylic acid was installed through Sandmeyer reaction and Stille coupling followed by Lemieux-Johnson and PDC oxidation. The amide bond formation steps were accomplished by using standard coupling conditions.

*Corresponding author. Tel.: +1-203-432-3221; e-mail: andrew.hamilton@yale.edu

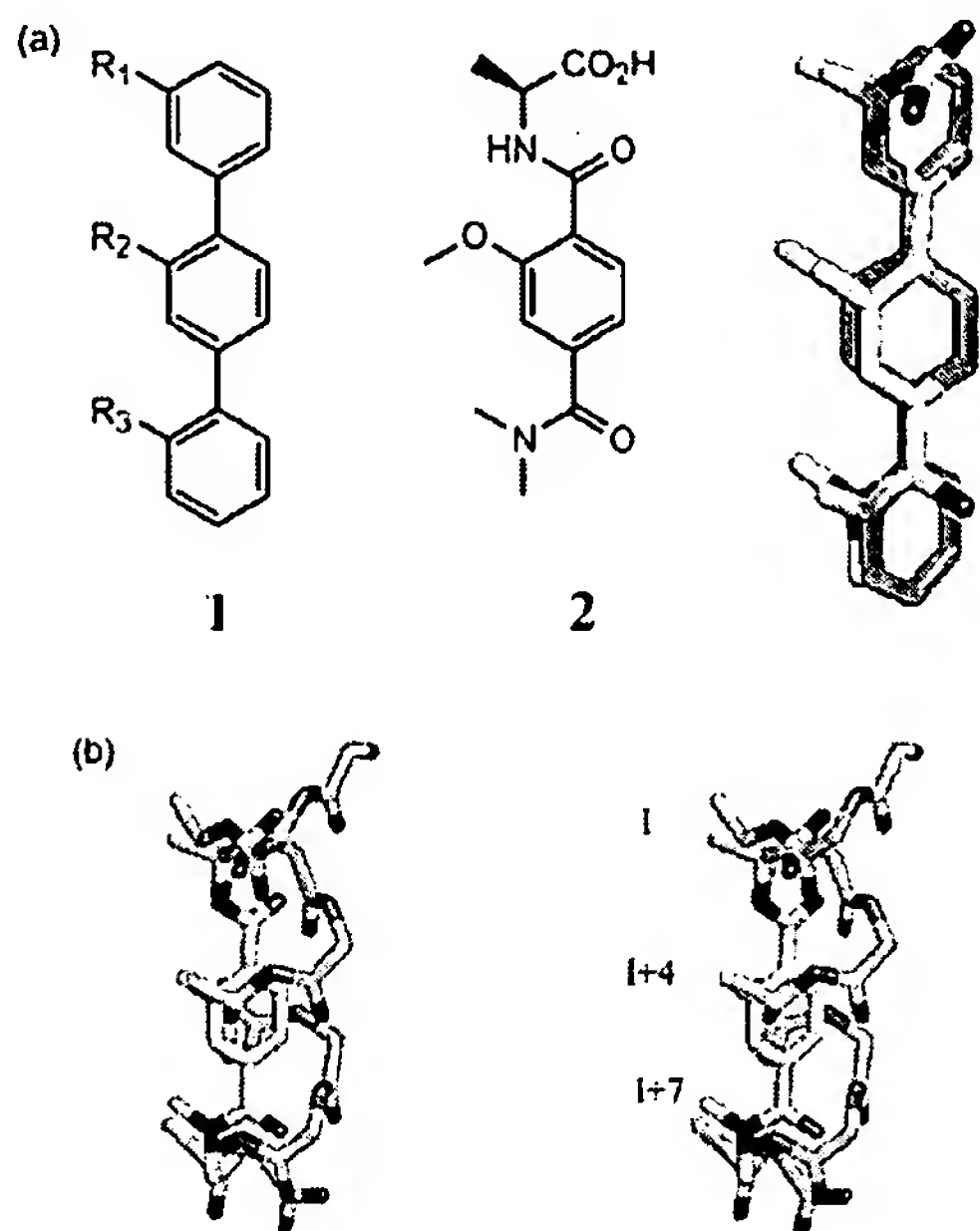


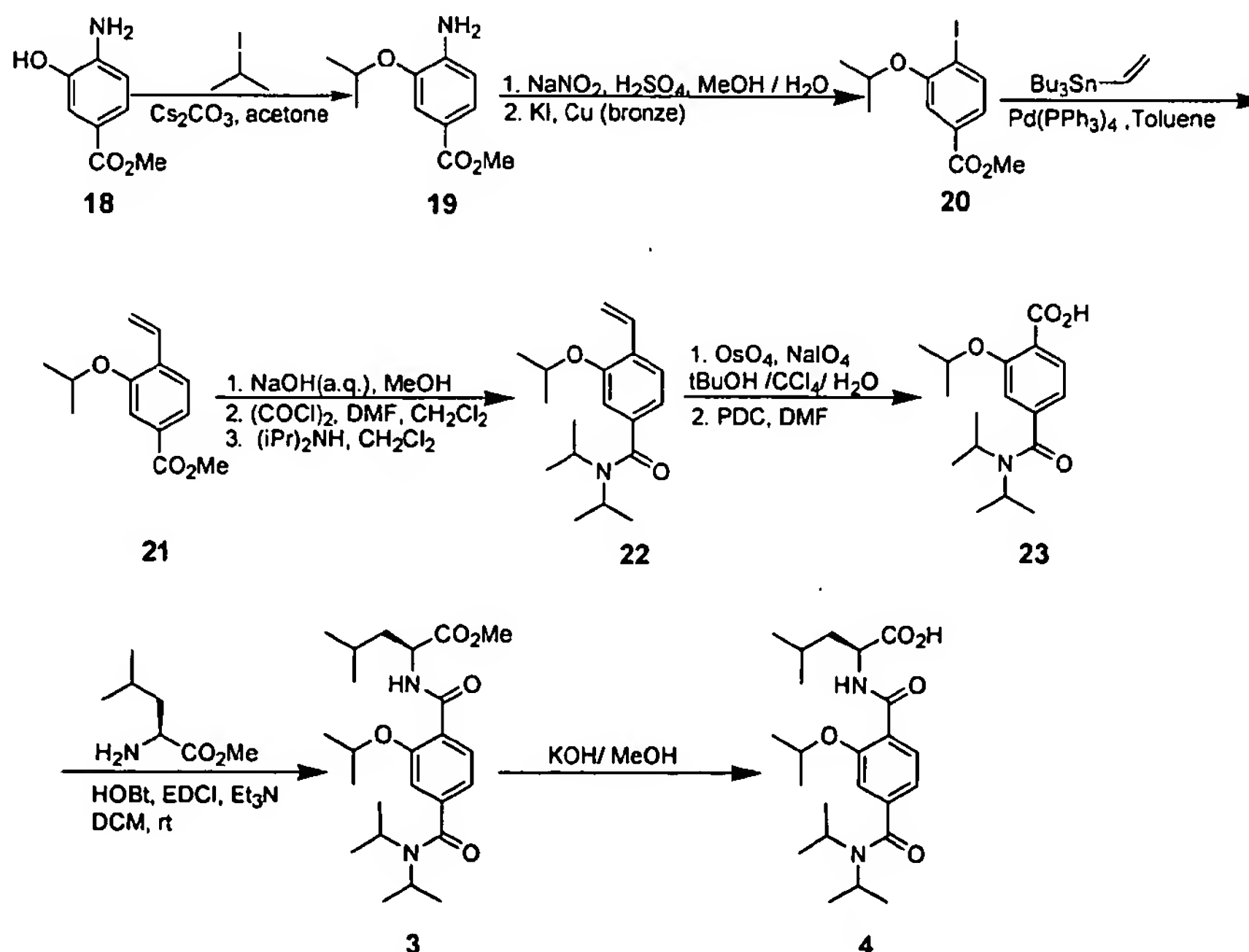
Figure 1. (A) The superimposition of terphenyl **1** on terephthalamide **2**. (B) Stereo view of the superimposition of **2** on the *i*, *i*+4, *i*+7 positions of an α -helix.

Another conformational constraint in the molecule was imposed by an intramolecular hydrogen bond between the amide –NH and the alkoxy oxygen atom, to influence the position of the upper alkyl group (Fig. 2).⁹ The intramolecular hydrogen bond was confirmed by variable temperature NMR, which showed very little change in the amide-NH resonance ($\delta = 0.54$ ppm) on heating ($\Delta\delta = 1.54$ ppm/K) or changing concentration.¹⁰ As a comparison, 2-isopropylamino terephthalamide **17** showed both concentration (7.36 ppm, 0.5 M in CDCl₃; 6.58 ppm, 0.05 M in CDCl₃; 6.46 ppm, 0.005 M in

CDCl_3 , 298 K) and temperature ($\Delta\delta=5.5$ ppb/K) dependence of the aniline proton, suggesting inter- rather than intramolecular hydrogen bonding.

Two strategies were used to orient the interacting *N*-alkyl group of the lower tertiary amide into the desired *Z*-conformation. In **3**, the problem was avoided by using identical substituents on the tertiary amide nitrogen. In a second series of derivatives (**5**, Scheme 2) steric differentiation of the substituents favored the placement of the isobutyl group in the *Z*-position (Fig. 3A). The conformation of **5** in solution was probed by ROESY and NOESY ^1H spectroscopy.¹¹ NOE cross peaks between H_b and the *ortho*-aryl protons were detected, while no significant NOE effect could be seen between H_a and the *ortho*- protons. However, correlations corresponding to the chemical exchange of H_a and H_b were observed in the ROESY experiment (Fig. 3B), which indicated there were two conformations of **5** existing in DMSO solution at 298 K. Furthermore, the signals of both H_a and H_b , which are split at room temperature, coalesced at 353 K. These combined experimental results suggest that both *Z*- and *E*-amide conformations are present with the *Z*-conformation being favored by 72% (from NMR integration), and by 8.01 kJ/mole in water solution (from MM2 energy minimization using Macromodel). The consequence of these constraints is that in low energy, accessible conformations of **5**, the three substituents project from one face of the terephthalamide scaffold in a manner analogous to the terphenyl helix mimetics (e.g., Fig. 1A).⁷

The binding affinity of the terephthalamide molecules for Bcl-xL was assessed by a fluorescence polarization assay using a fluorescently labeled 16-mer Bak-peptide (FI-GQVGRQLAIIGDDINR-CONH₂).⁵ Displacement of this probe through competitive binding of the



Scheme 1. Modular synthesis of terephthalamide derivatives 3 and 4.

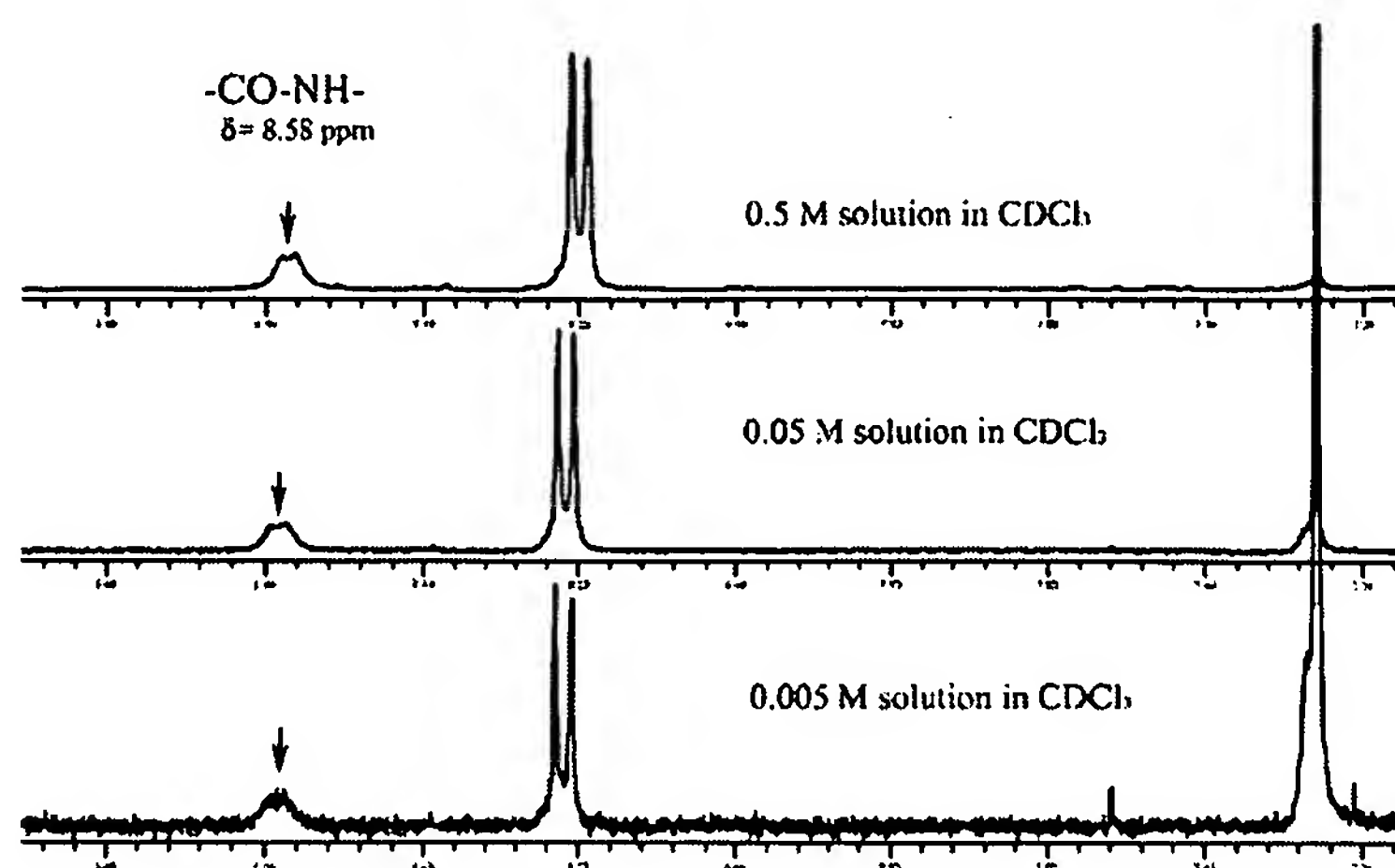
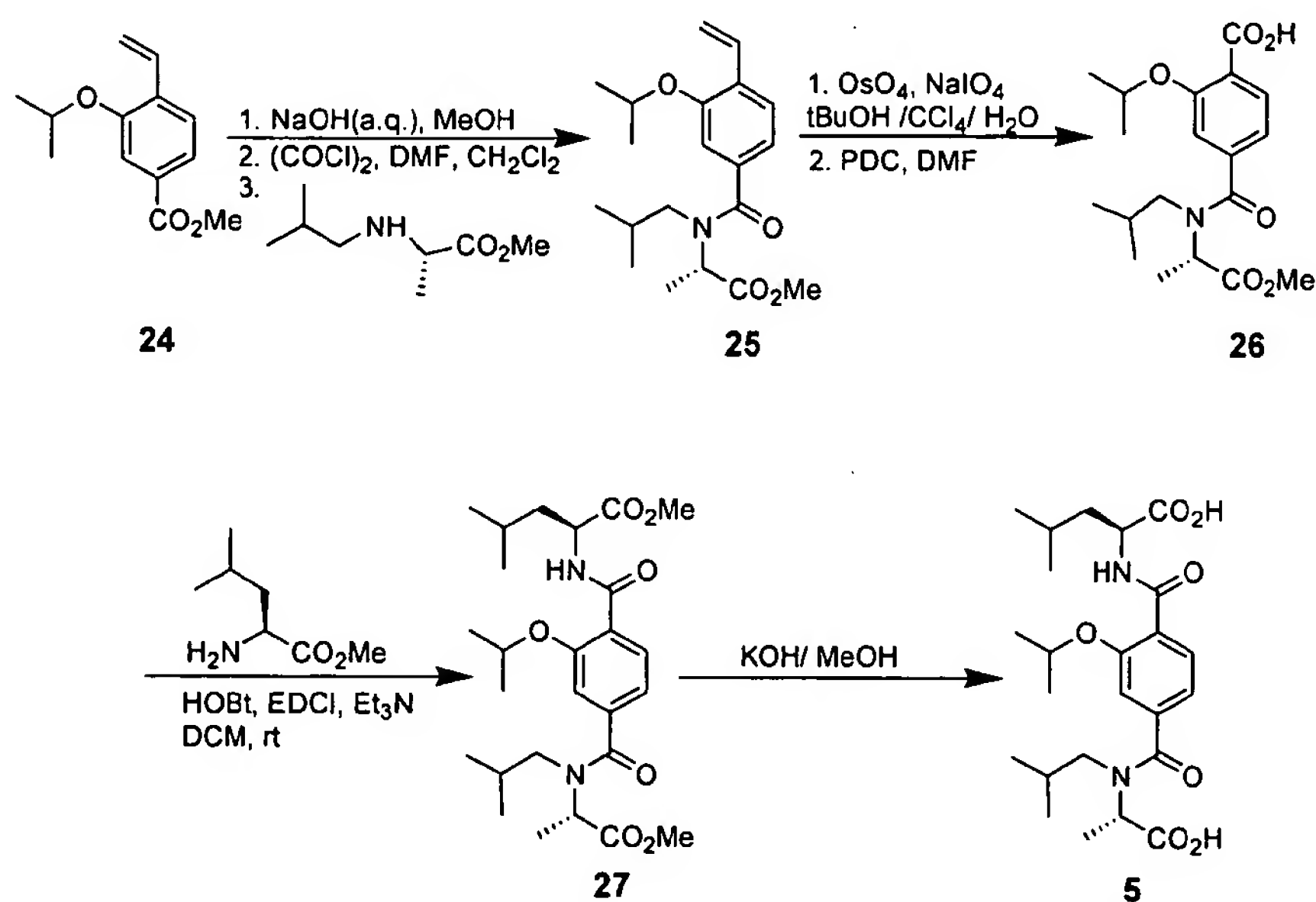


Figure 2. ¹H NMR spectra of low field region for 4 of different concentrations.



Scheme 2. Synthesis of terephthalamide derivative 5.

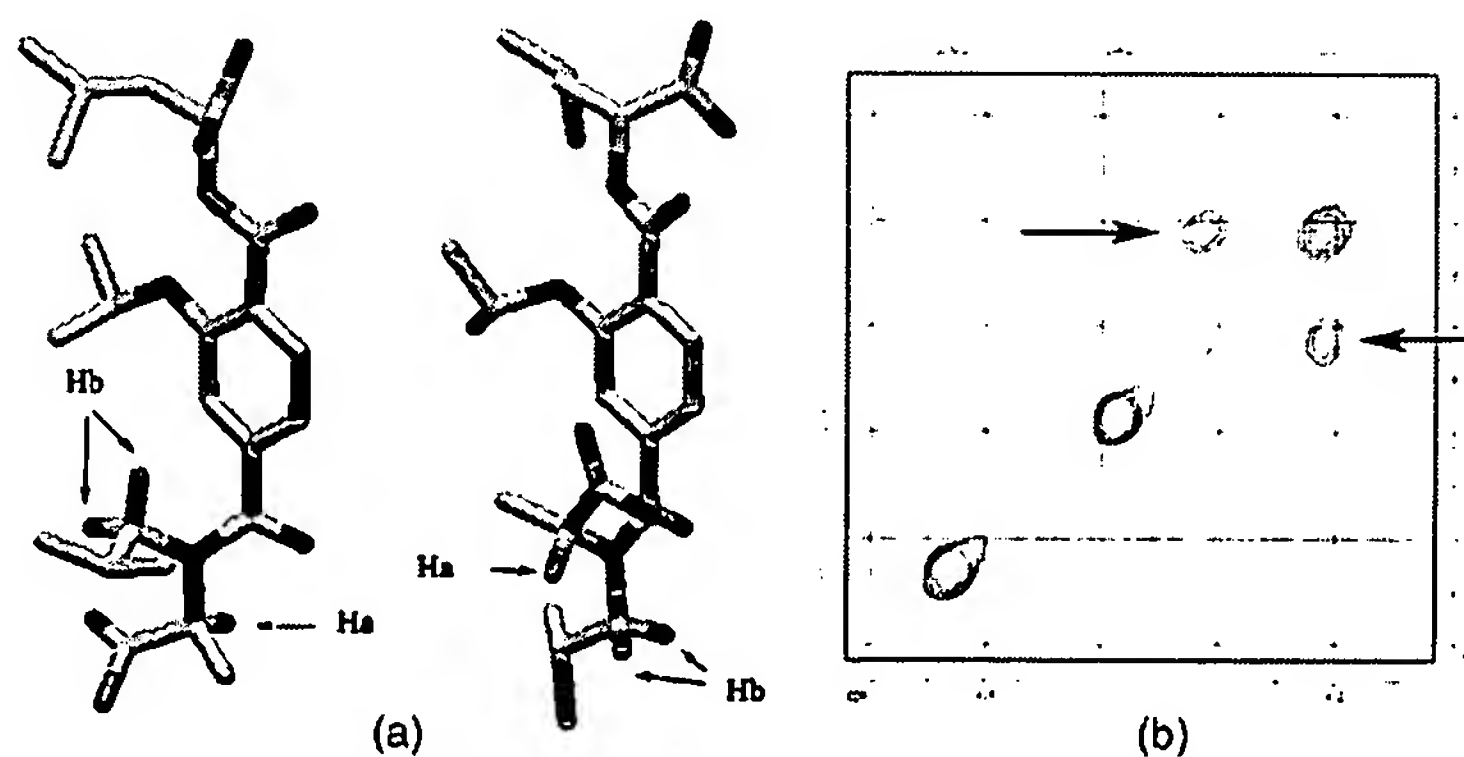


Figure 3. (A) Energy minimized Z- and E- isomers of 5. (B) ROESY ¹H experiments showed cross peaks corresponding to chemical exchange of H_a.

terephthalamide into the hydrophobic cleft of Bcl-xL would lead to a decrease in its fluorescence polarization, which in turn could be related to the known affinity of the 16-mer Bak peptide.¹² A series of terephthalamides with varied side chains was prepared. All the assays were carried out with 10^{-8} to 10^{-4} M terephthalamide solution in 10 mM PBS buffer (pH 7.4, 298 K) with less than 0.1% DMSO, indicating good solubility of terephthalamides in water. Figure 4 shows that terephthalamide 3 has good affinity for Bcl-xL with a K_i value of 0.78 ± 0.07 μ M. By screening compounds with a range of side chains on the upper carboxamide, we found that the isobutyl group as the upper substituent provided the best inhibition results (3, 10, 11, 12). The newly introduced stereogenic center in the terephthalamide did not affect the affinity, as seen by comparing 6 and 7. The optimal alkoxy group in the 2-position of terephthalamide was found to be isopropoxy (3, 4), which closely mimics the size of Leu⁷⁸ of the Bak peptide; both larger (8, 9) and smaller (6, 7) substituents gave decreased affinities. The *N,N*-alkyl substituents on the lower carboxamide were shown to be crucial in the interaction since most of the affinity was lost when the symmetrical isopropyl groups on the amide nitrogen were replaced by other substituents (5, 13, 14, 15). The

importance of hydrophobic side chains was further confirmed by the weak binding of 16, which lacks the key substituents. The 2-isopropylamino terephthalamide 17 showed affinity 4-fold less than its 2-isopropoxy analogue 4, suggesting the intramolecular hydrogen bond in 4 helps to orient the side chain and in turn to enhance binding. These assay results confirmed that the terephthalamide derivatives retained the high in vitro affinity of the original terphenyl scaffold while reducing its complexity.

A computational docking study using AutodockTM lent support that the binding cleft for the BH3 domain of the Bak peptide on the surface of Bcl-xL is the target area for the synthetic inhibitors. Over 90% of the conformational search results showed the terephthalamide docked to this region. Figure 5 shows the overlay of the top-ranked docking result with the BH3 domain of the Bak peptide in the Bcl-xL/Bak complex, suggesting that the side chains of the terephthalamide scaffold have an analogous spatial arrangement to the three key alkyl side chains of the Bak peptide.

In conclusion, a novel family of Bcl-xL antagonists, based on a terephthalamide scaffold has been successfully

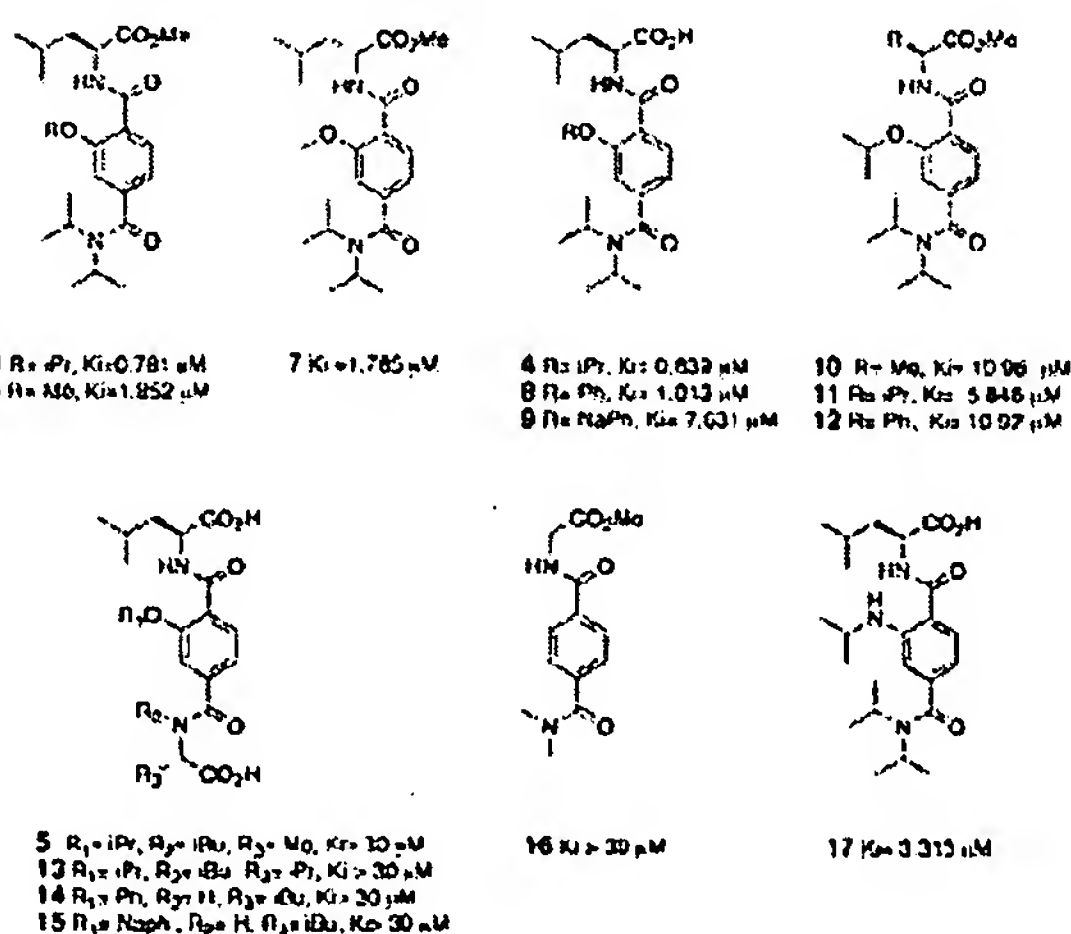
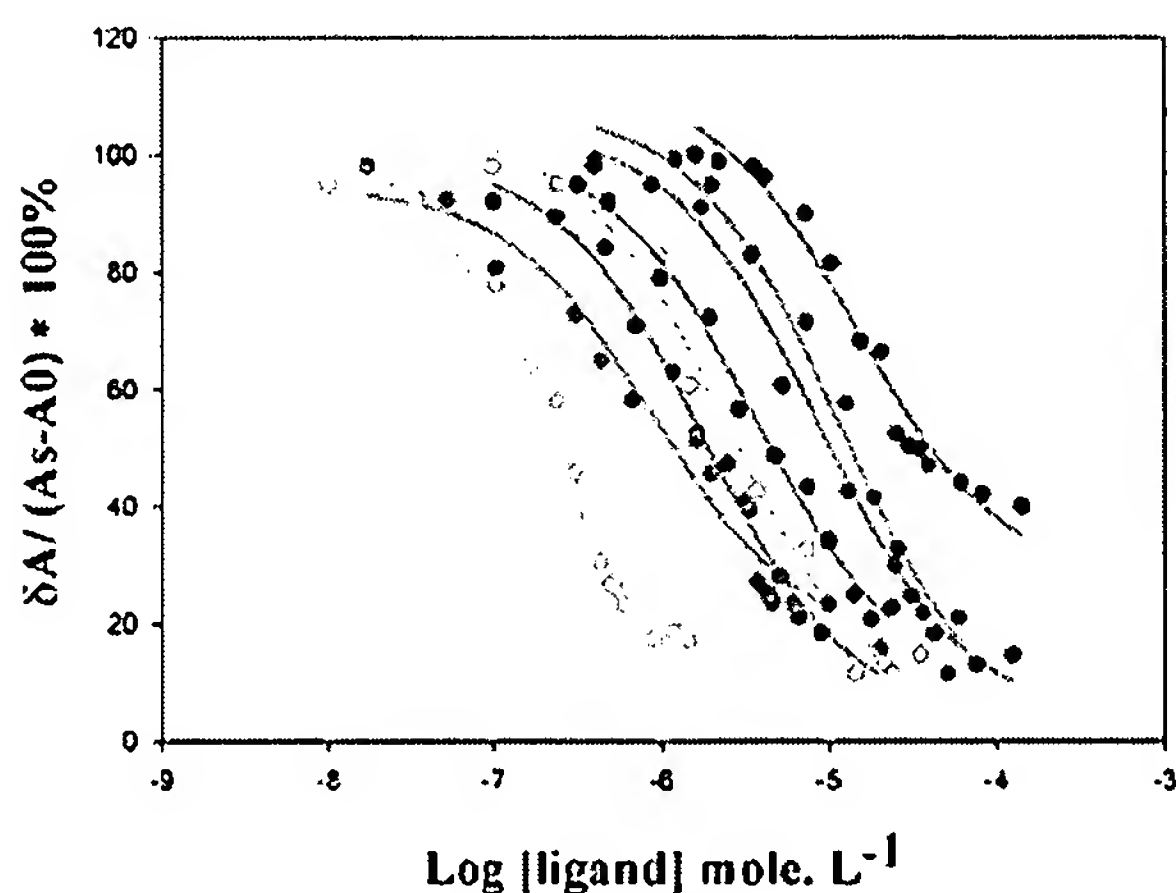


Figure 4. Results of the fluorescence polarization competition assay of terephthalamide derivatives as the antagonists of Bcl-xL/Bak complex. ○ non-labeled Bak BH₃ peptide ● 3 ○ 6 ● 8 ● 9 ● 10 ● 16 ● 17.

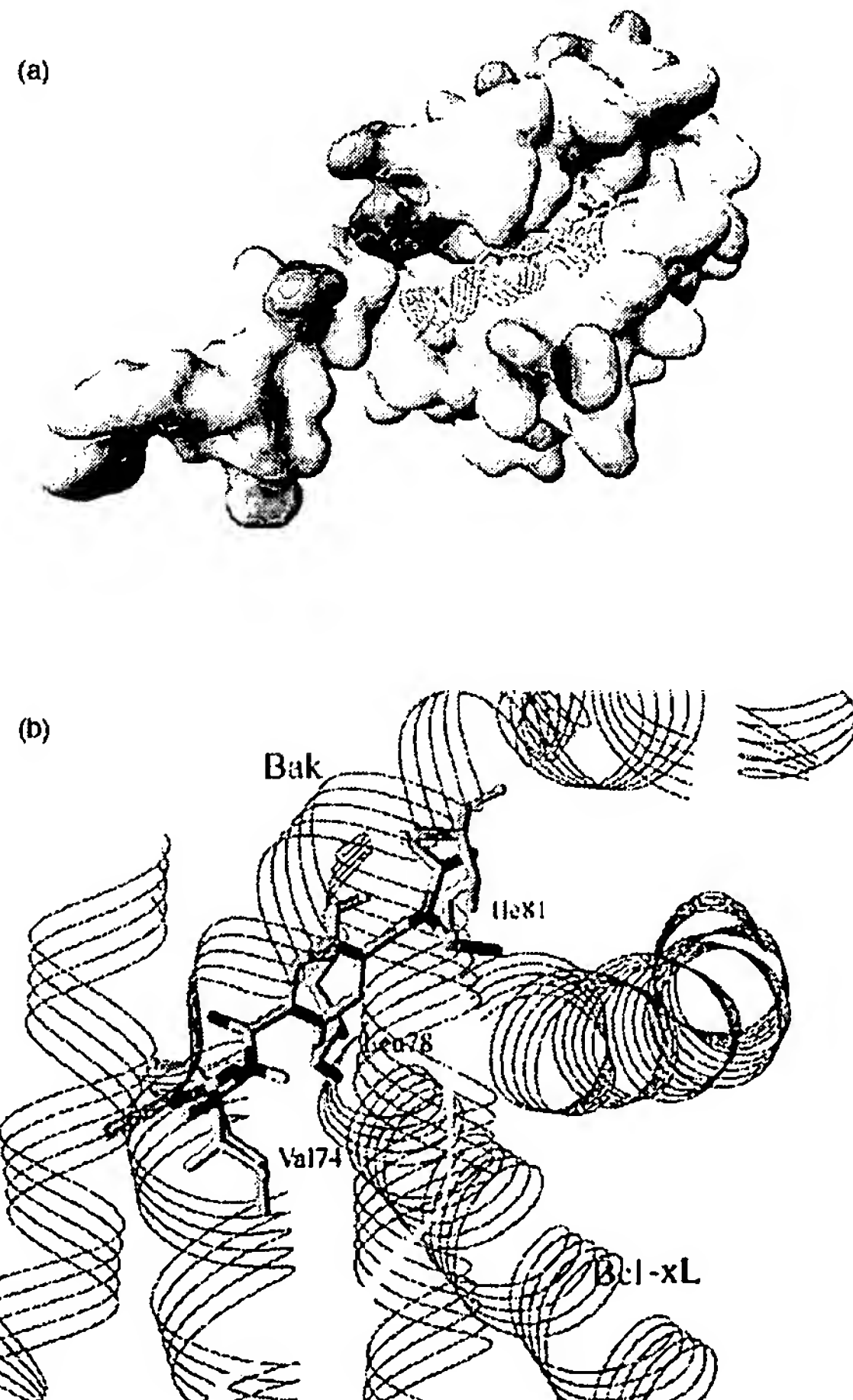


Figure 5. Result of the molecular-docking studies of 6 and Bcl-xL. (A) Full view. (B) Close up of an overlay of the highest-ranked binding mode and the Bak peptide. The key hydrophobic side chains of Bak are shown as stick representations.

developed. Systematic synthesis, conformational studies and fluorescence polarization binding assays were completed and sub- μ M level in vitro affinities were observed.

Acknowledgements

We thank the National Institutes of Health for financial support of this work.

References and notes

- (a) Adams, J. M.; Cory, S. *Science* **1998**, *281*, 1322. (b) Reed, J. C. *Nature* **1997**, *387*, 773.
- (a) Graeber, T. G.; Osmanian, C.; Jacks, T.; Housman, D. E.; Koch, C. J.; Lowe, S. W.; Giaccia, A. J. *Nature* **1996**, *379*, 88. (b) Fearon, E. R.; Vogelstein, B. *Cell* **1990**, *61*, 759.
- Strasser, A.; Huang, D. C. S.; Vaux, D. L. *Biochim. Biophys. Acta-Rev. on Cancer* **1997**, *1333*, F151.
- Adams, J. M.; Cory, S. *Trends in Biochem. Sci.* **2001**, *26*, 61.
- Sattler, M.; Liang, H.; Nettlesheim, D.; Meadows, R. P.; Harlan, J. E.; Eberstadt, M.; Yoon, H. S.; Shuker, S. B.; Chang, B. S.; Minn, A. J.; Thompson, C. B.; Fesik, S. W. *Science* **1997**, *275*, 983.
- (a) Wang, J. L.; Liu, D. X.; Zhang, Z. J.; Shan, S. M.; Han, X. B.; Srinivasula, S. M.; Croce, C. M.; Alnemri, E. S.; Huang, Z. W. *Proc. Natl. Acad. Sci. U.S.A.* **2000**, *97*, 7124. (b) Degterev, A.; Lugovskoy, A.; Cardone, M.; Mulley, B.; Wagner, G.; Mitchison, T.; Yuan, J. Y. *Nat. Cell Biol.* **2001**, *3*, 173. (c) Tzung, S. P.; Kim, K. M.; Basanez, G.; Giedt, C. D.; Simon, J.; Zimmerberg, J.; Zhang, K. Y. J.; Hockenbery, D. M. *Nat. Cell Biol.* **2001**, *3*, 183. (d) Enyedy, I. J.; Ling, Y.; Nacro, K.; Tomita, Y.; Wu, X. H.; Cao, Y. Y.; Guo, R. B.; Li, B. H.; Zhu, X. F.; Huang, Y.; Long, Y. Q.; Roller, P. P.; Yang, D. J.; Wang, S. M. *J. Med. Chem.* **2001**, *44*, 4313. (e) Lugovskoy, A. A.; Degterev, A. I.; Fahmy, A. F.; Zhou, P.; Gross, J. D.; Yuan, J. Y.; Wagner, G. *J. Am. Chem. Soc.* **2002**, *124*, 1234.
- Kutzki, O.; Park, H. S.; Ernst, J. T.; Orner, B. P.; Yin, H.; Hamilton, A. D. *J. Am. Chem. Soc.* **2002**, *124*, 11838.
- Energy minimization and compound superimpositions were carried out using the MM2 forcefield within the Macromodel program.
- Ernst, J. T.; Becerril, J.; Park, H. S.; Yin, H.; Hamilton, A. D. *Angew. Chem.-Int. Edit.* **2003**, *42*, 535.
- Crisp, G. T.; Jiang, Y. L. *Arkivoc* **2001**, *2*, U60.
- 2-D NMR experiments were conducted with Bruker 500 Hz under following conditions: 0.1 mM 2 in DMSO at 298 K.
- Under this assay condition, the unlabeled 16-mer Bak peptide gave a K_d of 120 nM (Kutzki, O. et al., *J. Am. Chem. Soc.* **2002**, *124*, 11838, ref 10).